E-rate Form 498 for Libraries

Lauren Abner June 2016

Updated 11/22/2016

Disclaimers



- These instructions represent my unofficial interpretation of the FCC's E-rate rules and regulations. Official guidance can come only from the FCC and USAC.
- During the FY 2016-17 filing window, USAC is working constantly to fix technical problems and other errors in both EPC and in training documents. What I present today is correct to the best of my knowledge, but what you see in EPC may be different.

What is the Form 498?

- New for 2016 file within the E-rate Productivity Center (EPC) portal
- Provides direct deposit information for Erate invoicing
- Required only for libraries that use Billed Entity Applicant Reimbursement (BEAR)
 Forms to receive funding after paying the full bill upfront

Why is this a good thing?

- Only required once unless banking information changes
- Service providers are removed from the BEAR Form process
- Reimbursement via direct deposit will happen in about 6 calendar days – it was about 30 for the old system

How many 498s do I need?

- Q: Must I file a Form 498 for each of my branches?
 - A: No. Your library system has a Billed Entity Number (BEN) that handles E-rate filing on behalf of all your branches. If you have one bank account where you want all E-rate reimbursement to be deposited, then you need only one form.
- Q: Can I submit multiple Forms 498 if I want to use two or more bank accounts for reimbursement?
 - A: Yes, you can file a different Form 498 for each bank account you want to reference on the BEAR form.

Who Should Complete and Certify the Form 498?

- EPC users with the Form 498 permission level of "General Financial Contact" can fill out the form but cannot certify it.
- EPC users with the Form 498 permission level of "School or Library Official" can both fill out and certify the form.
- Even if another staff member fills out the Form 498, the library director should be the certifier since s/he has authority in the library's financial matters.
- See slides 9-12 for instructions on updating permission levels.

Info you need

- Federal Employer Identification Number (EIN)
- FCC Registration Number this is part of your library system's profile in the E-rate Productivity Center and will autopopulate in the form
- DUNS Number see next two slides
- Banking info name of bank, transit (routing) number, and account number

More on DUNS Numbers (1/2)

- Most libraries already have a DUNS number assigned.
- Find your number by calling (866) 705-5711or researching at the Dun & Bradstreet website: https://www.dandb.com/dunsnumberlookup/ (search results are emailed immediately)
- You can also use the DUNS search on E-rate Central by entering your library's Billed Entity Number: http://e-ratecentral.com/us/stateInformation.asp?state=KY

D-U-I	N-S Lookup	
BEN:		
Sear	rch	

More on DUNS Numbers (2/2)

- If you need to create a DUNS number, go to this page of the Dun & Bradstreet website: https://www.dandb.com/product/companyupdateLogin?execution=e2s1
- Information you'll need:
 - Library name & address
 - Name of organization owner (library director)
 - Legal structure (corporation)
 - Year the organization started
 - Primary type of business (government grantee)
 - Total number of employees (full and part-time)

Updating User Permissions

You may need to update permissions before starting or certifying a Form 498.

Account Admin Makes Changes

My Landing Page



Welcome, Pioneer County Public Library System!

The EPC Account
Administrator for the
library can change user
permissions.

Funding Request Report | FCC Form 470 | FCC Form 47 | Manage Users | Manage Organizations | USAC Website | Contact Us | Help

ng page,

From the landing page, click on the Manage Users link near the upper right corner.

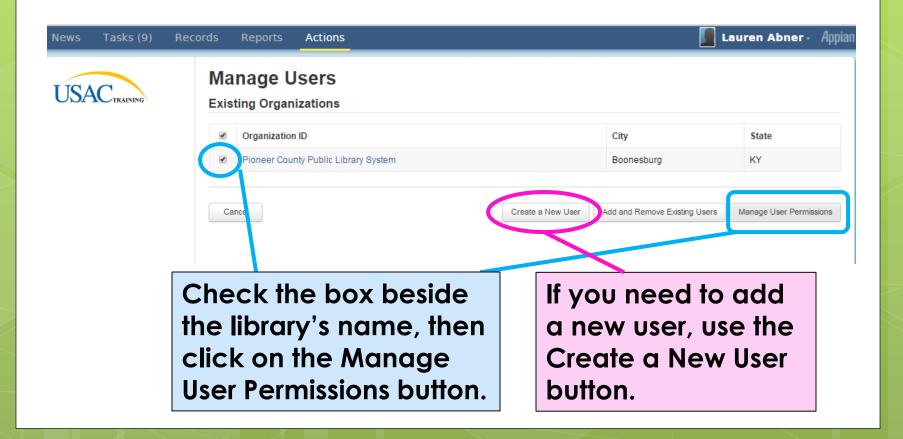
No items available

Issued Date

My Entities

Entity	Entity Number	City	State	Zip Code
Pioneer County Public Library System	208	Boonesburg	KY	40069
Loganville Branch Library	209	Loganville	KY	40078

Manage User Permissions



498 Permission

Manage User Permissions

Organization Details

Name Pioneer County Public Library System

FCC Registration 0123456789 Number

Address 100 Main Street Boonesburg, KY 40069

Organization Type Applicant

Mailing Address 100 Main Street

Phone Number 111-222-3333

3 100 Main Street Boonesburg, KY 40069

Email library.system10

User Permissions

In the table below, you can designate the permissions that you wish to give to each of your users for the various tasks table will continue to grow as more functionality comes online.

- . Full rights users can start, complete, submit and certify forms.
- Partial rights users can start and enter data in the form, but cannot submit and certify them.
- view only users call only see forms created by other people in your organization but calmot create forms themselves.
- Form 498 School or Library Officials can start, complete, submit, certify, modify, and deactivate Forms 498.
- Form 498 General Financial Contacts can start, complete, and submit Forms 498, but cannot certify new or updated Forms 498 or deactivate existing

Name	Email	Apply All	470 Permission	471 Permission	498 Permission		N Mod mission
Lauren Abner	library.system 10.user1@m ailinator.com	•	Full \$	Full \$	School or Lib \$		cial
Susie Librarylady	susie.libraryla dy@mailinato r.com	•	Full \$	Full \$	General Financi No Access	al C	ntac
Jay Peterson	jay.peterson @mailinator.c om	•	Full 💠	Full 4	School or Lib \$	Fu	

On the grid of user permissions, change the 498 Permission for the appropriate user, the click on Submit.

Cancel

Submit

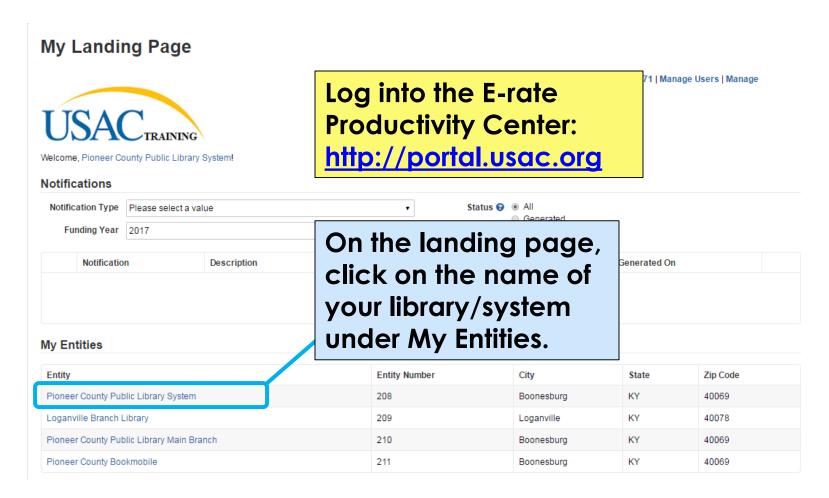
Descriptions of the Form

498 permission levels

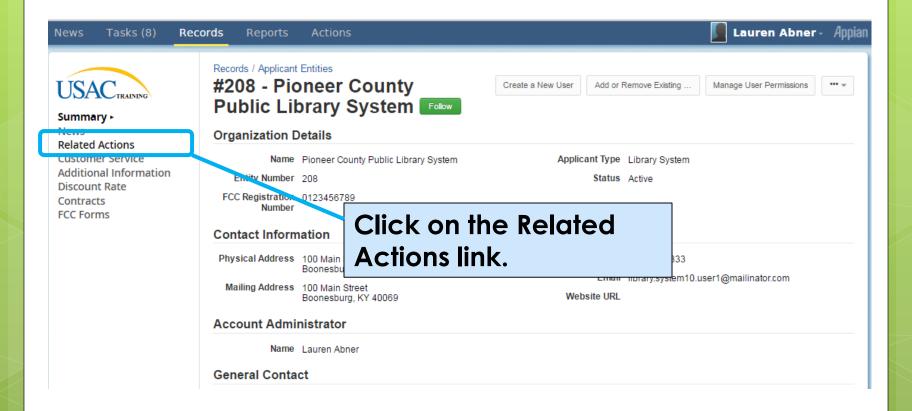
appear here.

Form 498 Walkthrough

Locating the Form 498 in EPC



Related Actions



Create FCC Form 498



Summary News

Related Actions >

Customer Service Additional Information Discount Rate Contracts FCC Forms

Records / Applicant Entities

#208 - Pioneer County Public Library System Follows

- - This function allows you to create a user for your entity.
- Add or Remove Existing Users
 - This process allows user to add and remove users from an organization
- Manage User Permissions
- This function allows you manage the permissions for one or more users.
- Manage Organization
 - This function allows you to update information about an entity or BEN.
- **Modify Account Administrator**
 - This process allows you to transfer the Account Administrator function to another individual.
- Create a Custor This function all

Click on the Create This function allow FCC Form 498 link.

c request or an attachment

- - Manage Organization Relationshi Process to relate an Organization to another Organization,
- Create FCC Form 470
 - This function allows you to create an FCC Form 470 for your entity.
- Create FCC Form 471
- This function allows you to create an FCC Form 4/1 for your entity.
- Manage Contracts Create, edit, and remove contracts for your organization
- Create FCC Form 498 This function allows you to create an FCC Form 498 for your entity.

Form 498 – 1st page

Create a FCC Form 498

In the E-rate Program, the FCC Form 498 is used by applicants who select the direct (BEAR) invoicing process. This form establishes your bank account with USAC by collecting banking and remittance information for the organization. All BENs associated with this organization and banking/remittance information should be included on this form. Submission requires School or Library Official certification.

Pioneer County Public Library System - Pioneer County Public Library direct deposit FY 2016-17 -

Basic Information Application Nic	General Financial Contact	Organization Numbers		ttance nation	Associated E	Enter a nickname on this page—that is the only information you
	cation nickname here* c Library direct deposit I					should enter. Leave ALL other fields blank.
Name Pioneer County Public		or Formerly Known	As (FKA)			Then click on the Save
Holding Company Nat						
Tasks' tab.	r is clicked, you will be able	to leave the form withou	ut iosing any	entered into	mauon from this p	age. To return to the in-progress form, locate Lunder the

General Financial Contact (1/2)

Create a FCC Form 498

In the E-rate Program, the FCC Form 498 is used by applicants who select the direct (BEAR) invoicing process. This form establishes your bank account with USAC by collecting banking and remittance information for the organization. All BENs associated with this organization and banking/remittance information should be included on this form. Submission requires School or Library Official certification.

Pioneer County Public Library System - Pioneer County Public Library direct deposit FY 2016-17 -

443000028 Enter a name for the Basic Information General Financial Organization Associated BF **General Financial** Numbers Information Contact (must be an General Financial Contact of Pioneer County Public Library System Enter General Financial Contact EPC user). When you Lauren Abner X start typing, the name First Street Address Lauren 100 Main Street should pop up—click Middle Initial Address Line 2 Last City on it, and the system Abner Boonesburg Phone Number will pull the contact 502-564-1728 Phone Number Extension Zip Code 40069 information from the F-mail Zip Code Extension library.system10.user1@mailinator.com user's EPC profile. Once 'Save and Continue' is clicked, you will be able to leave the form without losing any entered information from this page. To return to the in-progr 'Tasks' tab Delete Form Save and Continue

Federal EIN and DUNS numbers

Create a FCC Form 498

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Pioneer County Public Library System - Pioneer County Public Library direct deposit FY 2016-17 - 443000028

Enter the library's Remitta Basic Information General Financial Organization Contact Numbers Informa ation **Federal Employer Identification Number Further Details** (EIN) and Dun and Federal Employer Identification Number* 555555555 **Bradstreet Number** Dun and Bradstreet Number (DUNS)* (DUNS). Don't use 666666666 FCC Registration Number dashes, hyphens, or 0123456789 spaces. Once 'Save and Continue' is clicked, you will be able to leave the form without losing any entered information from this page. To return to the in-progress form, locate it under the 'Tasks' tab. Save and Continue Delete Form Previous

Remittance Information

Create a FCC Form 498 In the E-rate Program, the FCC Form 498 is used by applicants who select the direct (BEAR) invoicing process. This for banking and remittance information for the organization. All BENs associated with this organization and banking/remittan Enter a Remittance Contact; this requires School or Library Official certification. Pioneer County Public Library System - Pioneer County Public Library dire person will receive e-mail notifications 443000028 when E-rate reimbursement has been Basic Information General Financial Organization Remittance Numbers Information Contact transferred into the library's bank account. The Remittance Contact Remittance Contact Is the Remittance Contact the same as the General Financial Contact? does NOT have to be an EPC user. If Yes the General Financial Contact and Contact Information hone Number First Name Remittance Contact are the same 02-564-1728 Lauren hone Number Extension Last Name person, check the box and the Abner Middle Initial mail Address contact information will auto-populate. ibrary.system10.user1@m KDLA Technology Consultant Financial Information Remittance Financial Institution³ Financial Institution Account Number for ACH' Pioneer County Bank 1111111111 ACH Financial Institution Transit Number* 999999999 Once 'Save and Continue' is clicked, you will be able to leave the form without losing any entered information from this page. To return to the in-progress form, locate it under the Delete Form Save and Continue

Enter the name of the library's bank, the transit (routing) number, and the account number.

Additional BENs – Leave Blank!

Create a FCC Form 498

In the E-rate Program, the FCC Form 498 is used by applicants who select the direct (BEAR) invoicing process. This form establishes your bank account with USAC by collecting banking and remittance information for the organization. All BENs associated with this organization and banking/remittance information should be included on this form. Submission requires School or Library Official certification.

Pioneer County Public Library System - Pioneer County Public Library direct deposit FY 2016-17 - 443000028

443000028							
Basic Information	General Financial Contact	Organization Numbers	Remittance Information	Associated BENs	Principal Communication Types	School or Library Official Certification	
Block 14: Billed	l Entity Number/F	FCC Form 498 A	Association		DON	'T add	any
If you are an entity that h FCC Form 498.	as chosen to receive your E	E-rate Program payments	s directly (BEAR invoici	ng), please enter the Billed I		lional	
Billed Entity Numbe	r		Billed Entity	Name	J. J		
208			Pioneer Cour	nty Public Library Syster	BENs	on this	5
Add Additional BEN N	lumber					_	
1					page	ŽĮ.	
Add to List							
Once 'Save and Continue' 'Tasks' tab.	e' is clicked, you will be able	to leave the form withou	t losing any entered info	ormation from this page. To	return to the in-progress	s form, locate it under the	
Delete Form					Previous	Save and Continue	

Service Identification

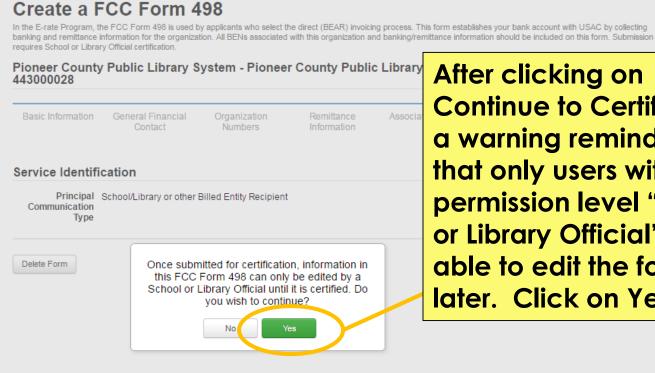
Create a FCC Form 498

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Pioneer County Public Library System - Pioneer County Public Library direct deposit FY 2016-17 - 443000028

Basic Information General Financial BENs Principal School or Library No additional Official Certification Contact Communication Types information Service Identification needed-click on Principal School/Library or other Bille Continue to Communication Type Certification. Continue to Certification Delete Form Previous

Continue to Certification



After clicking on Continue to Certification. a warning reminds you that only users with the permission level "School or Library Official" will be able to edit the form later. Click on Yes.

Review Data

Review Data

Please carefully review the data entered on the form for accuracy. FCC Form 498 #443000028

I have reviewed this form and have determined the information to be accurate.

to tify that I am a School or Library Official of the above-named entity, and that I am a above named entity.

Persons willfolly making false statements on this form can be punished by fine or forfeiture 220(e), 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U

Click on the hyperlink with the FCC Form 498 #. A separate window or tab will open so you can doublecheck what you entered. Click on the Previous button to go back and make changes.

I certify.

Select be checkbox to certify our FCC Form 498 Pioneer County Public Library direct deposit FY 2016-17

A download link will appear under Tasks when the PDF version of the certified form is ready to be downloaded

Reject and Delete Form

If you're satisfied that all information was entered correctly, check both boxes. The Certify button will turn green—click on it.



Download Form 498 from Tasks List



Your library's Form 498 ID



FCC FORM 498

Form #443000028

Pioneer County Public Library direct deposit FY 2016

Page 1 of 2

Form 498 IDs are nine-digit numbers starting with 443.

Organization Information

Name: Pioneer County Public Library System
Mailing Address: 100 Main Street Boonesburg.

KY 40069

Doing Business As:

Federal EIN: 555555555

Dun and Bradstreet Number (DUNS):

66666666

FCC Registration Number: 0123456789

Damittanaa Cantaat

Holding Company

Name:

Federal EIN:

General Financial

Name: Lauren Abner Title: KDLA Technolo Phone: 502-564-172

Email: library.system Physical Address: 1

/V 40060

KY 40069

Your library's Form 498 ID is listed on the copy of the form you download from the Tasks list. You'll need this number to validate your library's banking information and to list on BEAR forms after July 1.

Validation of Banking Information

Documentation to Provide

- You'll need to send at least one of the following to USAC after certifying your Form 498:
 - First page of a banking statement that clearly indicates the library name, bank name, transit (routing) number, and account number; OR
 - Voided check that indicates the library name, bank name, routing number and account number.

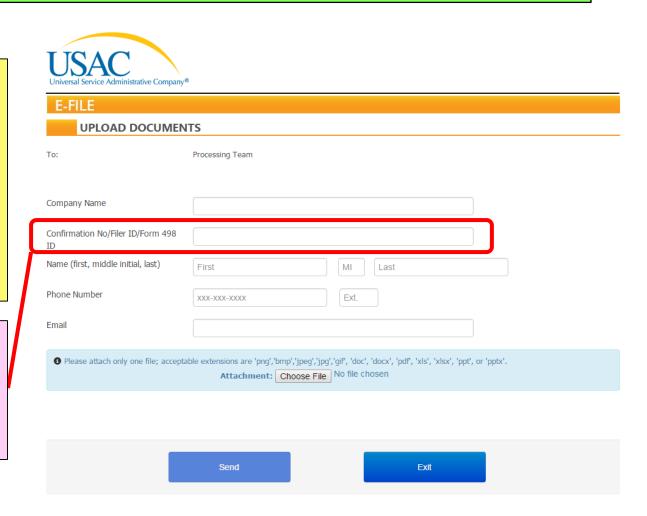
Method #1 (Preferred) – Submit Online

Revised URL:

https://efile.universalservice.org/ContributorManagement/V1/BankValidation

After certifying the Form 498, you can upload banking documentation.
Starting in late June, email notices will direct Form 498 filers to this page.

This field requests the library's Form 498 ID found in the upper right corner of the certified form.



Email Notification

From: USAC Customer Support [mailto:customersupport@usac.org] Sent: Tuesday, June 21, 2016 4:59 PM

To:

Subject: Banking Information Required for 498 ID (SPIN)

Send USAC a clear image of a voided check or a statement from your financial institution.

View this message as a web page



Helping Keep Americans Connected | www.usac.org

Banking Information Required for 498 ID

.

We have begun reviewing your FCC Form 498 application for ______, and need additional information in order to issue your 498 10 to be used on your BEAR* invoice:

- 1. A clear image of a voided check, or
- 2. A statement from your financial institution that includes the bank name and your account number.

Please submit the documentation online. In the form, provide this information:

- Confirmation No./ Filer ID / Form 498 ID: 443
- Company Name:

If you need further assistance, please call us, Monday through Friday from 9:30 am to 4:30 pm ET, at (888) 641-8722 and select option 5, then Option 2, or email us any time.

Thank you,

USAC Customer Support (888) 637-6226; <u>finopsprocessing@usac.org</u> usac.org/sl

*Billed Entity Applicant Reimbursement (BEAR) for the Schools and Libraries (E-rate) Program

If you have not uploaded your documentation, you may receive an email notice from USAC directing you to do so.

Method #2 –Fax Banking Documents to USAC

Libraries that filed the Form 498 before late June 2016 have received email notices asking for banking documents to be faxed. While Method #1 is preferred, faxed documentation will still be accepted.

VERY IMPORTANT – In addition to the library name and Form 498 ID, make sure all pages of your fax clearly show the library's Billed Entity Number (BEN).



Helping Keep Americans Connected | www.usac.org

Banking Information Required for 498 ID



We have begun reviewing your FCC Form 498 application for COUNTY PUBLIC LIBRARY, and need additional information in order to issue your 498 ID to be used on your BEAR* invoice:

- 1. A clear image of a voided check, or
- 2. A statement from your financial institution that includes the bank name and your account number.

Please fax the requested documentation to (888) 637-6226. On the fax cover sheet, please include:

- Attention: FCC Form 498 Processing Team,
- Reference Number: 443
- FCC Form 498 Nickname: COUNTY PUBLIC LIBRARY.

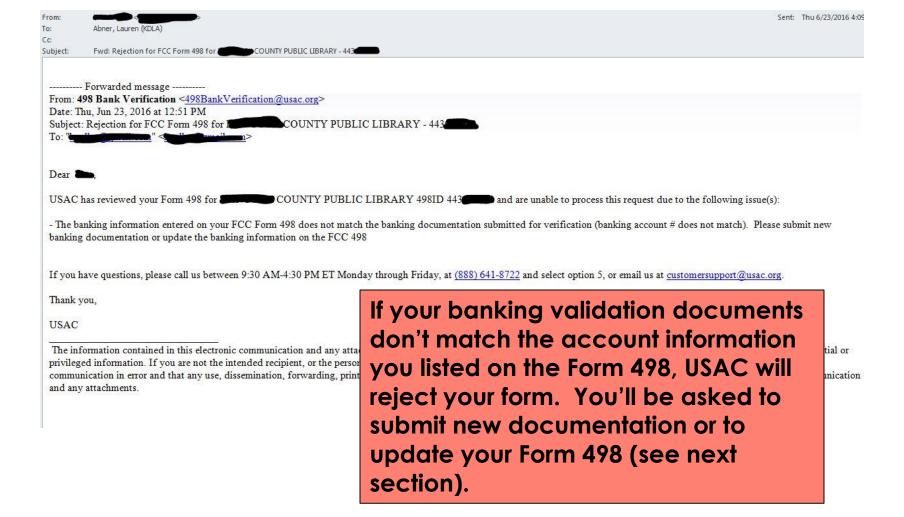
If you need further assistance, please call us, Monday through Friday from 9:30 am to 4:30 pm ET, at (888) 641-8722 and select option 3, or <u>email us</u> any time.

Thank you,

USAC Customer Support (888) 637-6226; <u>finopsprocessing@usac.org</u> usac.org/sl

*Billed Entity Applicant Reimbursement (BEAR) for the Schools and Libraries (E-rate) Program

Form 498 Rejection Notice



Form 498 Approval (1/2)

- Once the banking documentation is approved, USAC will send a confirmation email from <u>FINOPS-Processing@usac.org</u>. Then you'll be able to list your Form 498 ID on BEAR forms.
- USAC will maintain your documentation only as needed to validate your banking information or as required by the Federal Communication Commission's records requirements. These documents will otherwise be destroyed.

Form 498 Approval (2/2)

498 ID STATUS

Sheet1

Find out if your 498 ID is ready. Search the table below for your BEN (Billed Entity Number) or FCC 498 Form number.

498 ID Status :	Sheet1	
443017356	2826	Approved
443017356	2829	Approved
443017356	2830	Approved
443017356	2831	Approved
443017356	2832	Approved
443017356	2834	Approved
443017356	2836	Approved
443017356	16080433	Approved
443017356	16084546	Approved
443017358	128000	Approved
443017359		Certified
443017359 443017361	4685	
	4685 121581	Certified
443017361	4685 121581	Certified Certified Certified
443017361 443017361	4685 121581 4304 16023698	Certified Certified Certified
443017361 443017361 443017361	4685 121581 4304 16023698 4303	Certified Certified Certified Certified
443017361 443017361 443017361 443017361	4685 121581 4304 16023698 4303 4302	Certified Certified Certified Certified Certified
443017361 443017361 443017361 443017361 443017361	4685 121581 4304 16023698 4303 4302	Certified Certified Certified Certified Certified Certified Certified Certified
443017361 443017361 443017361 443017361 443017361 443017362	4685 121581 4304 16023698 4303 4302 74143 16061328	Certified Certified Certified Certified Certified Certified Certified Certified

Certified = You have submitted a request to receive a nine-digit 498 ID and USAC is reviewing your form.

Approved = USAC has approved your request and you can now file the BEAR online.

Deactivated = Your 498 ID is no longer active. You will not be able to submit a BEAR.

You can also use the Form 498 Status
Tool to see if your form has been
approved. Let the page load for 1
minute, then hit Ctrl + F and enter your
library's Billed Entity Number.

http://www.usac.org/sl/tools/498-ID-Status.aspx

Modifying a Form 498

Necessary only if your library's remittance information changes.

Records Search

USACTRAINING

Tasks (8)

All ►

News

Records

Reports



Records

Applicant Entities

List of Applicant Entition



Consulting Firms

List of Consulting Firms



Customer Service Cases

List of Customer Service Cases

Actions



FCC Forms 470

List of FCC Forms 470



FCC Forms 471

List of FCC Forms 471



FCC Forms 498

List of FCC Forms 498



Funding Requests

A list of all Funding Request Numbers (FRNs)

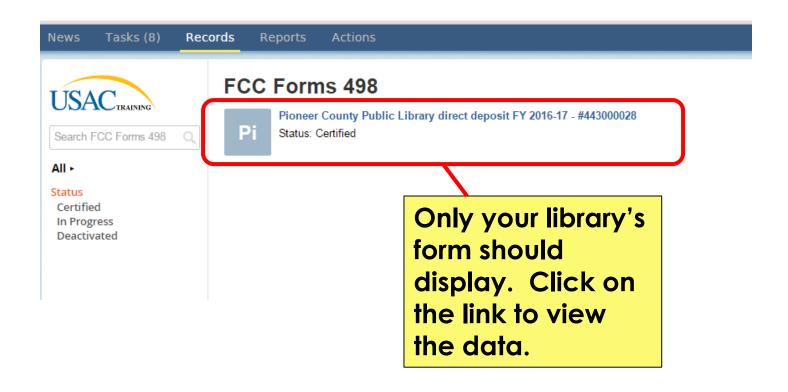


Knowledge Base Center

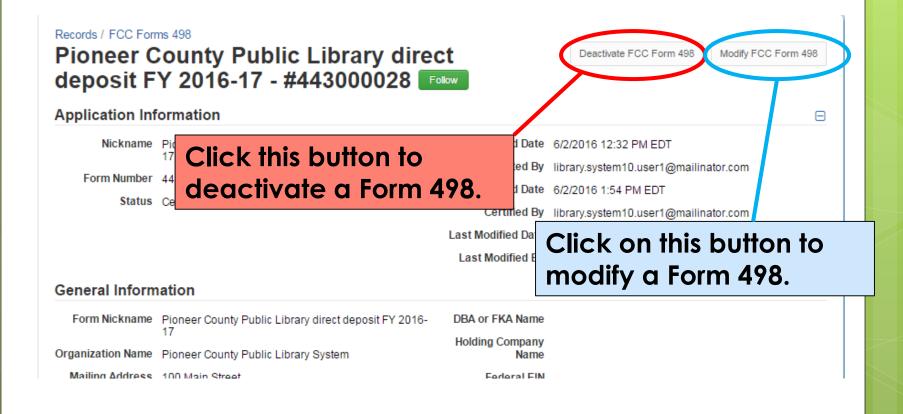
E-rate help with FAQs, guidance documents, and videos

If your library's remittance information changes, you'll need to modify the Form 498. To locate the form, click on the Records tab at the top of the page and then Click on FCC Forms 498.

View List of Your Form(s) 498



Deactivate or Modify Form



Modifying the Form

Modify an Existing FCC Form 498

In the E-rate Program, the FCC Form 498 is used by applicants who select the direct (BEAR) invoicing process. This form establishes your bank account with USAC by collecting banking and remittance information for the organization. All BENs associated with this organization and banking/remittance information should be included on this form. Submission requires School or Library Official certification.

Pioneer County Public Library System - Pioneer County Public Library direct deposit FY 2016-17 - 443000028

Basic Information General Financial Contact Organization Numbers

Application Nickname

Please enter an application nickname here*

Pioneer County Public Library direct deposit FY 2016-17

Organization Information

Name

Pioneer County Public Library System

Name Company is Doing Business As (DBA) or Formerly Known As

Holding Company Name

Edit each page as needed. You'll certify the form again—just like when you completed it the first time. If you change the banking information, you MUST resubmit a verification document.

Once 'Save and Continue' is clicked, you will be able to leave the form without losing any entered information from this page. To return to the in-progress form, locate it under the 'Tasks' tab.

Cancel

Save and Continue

Form 498 Questions?

- o Lauren Abner, KDLA's Technology Consultant:
 - lauren.abner@ky.gov
 - o (502) 564-1728
- **o USAC Customer Operations:**
 - o 1-888-641-8722 option 5
 - NOTE: This number is different than general customer service from the USAC Client Services Bureau (888-203-8100).
 - File a customer service case in the Erate Productivity Center (EPC)